

**FORM No. - MGT-9**
**Extract of Annual Return**
**As on the financial year ended on March 31, 2022**

[Pursuant to section 92 (3) of the Companies Act, 2013 and rule 12 (1) of the Companies Management and Administration) Rules, 2014]

**I. REGISTRATION AND OTHER DETAILS:**

Particulars	Details
CIN	L74899DL1992PLC050366
Registration Date	21/09/1992
Name of the Company	<b>NDA Securities Limited</b>
Category/ Sub- Category of the Company	<b>Company Limited by shares/ Indian Non-Government Company</b>
Address of the Registered Office and Contact Details	E-157, 2 <sup>nd</sup> Floor, Kalkaji, New Delhi-110019 Tel No.- 011-46204009 email:legal@ndaindia.com
Whether listed Company Yes/ No	<b>Yes</b> <b>(Listed on Bombay Stock Exchange Code: A1)</b>
Name, Address and Contact details of Registrar and Transfer Agent, if any	BEETAL Financial & Computer Services Private Limited BEETAL HOUSE, 3rd Floor, 99, Madangir, behind LSC, New Delhi- 110062 Tel- 011-29961281-283 Email: <a href="mailto:beetalrta@gmail.com">beetalrta@gmail.com</a>

**II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY (All the business activities contributing 10 % or more of the total turnover of the company shall be stated)**

SN	Name and Description of main products / services	NIC Code of the Product/service	% to total turnover of the Company
1	Brokerage	6612	100%

**III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES**

S. NO	NAME AND ADDRESS OF THE COMPANY	CIN/GLN	HOLDING/ SUBSIDIARY/ ASSOCIATE	% of Shares held	Applicable Section
1	NDA Commodity Brokers (P) Ltd <b>Registered Office:</b> E-157, 2 <sup>nd</sup> Floor, Kalkaji, New Delhi-110019	U74899DL1995PTC068098	SUBSIDIARY	100%	2(87)

**IV. SHARE HOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity)**
**a) Category-wise Share Holding**

Category of Shareholders	No. of Shares held at the beginning of the year[As on 1-April-2021]				No. of Shares held at the end of the year[As on 31-March-2022]				% Change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
<b>A. Promoter s</b>									
<b>(1) Indian</b>									
a) Individual/ HUF	3589240	-	3589240	70.49	3589240	-	3589240	70.49	0
b) Central Govt	-	-	-	-	-	-	-	-	
c) State Govt(s)	-	-	-	-	-	-	-	-	
d) Bodies Corp.	50000	-	50000	0.98	50000	-	50000	0.98	0
e) Banks / FI	-	-	-	-					-
f) Any other	-	-	-	-					-
<b>Sub- total (A) (1):-</b>	<b>3639240</b>	<b>-</b>	<b>3639240</b>	<b>71.47</b>	<b>3639240</b>	<b>-</b>	<b>3639240</b>	<b>71.47</b>	<b>0</b>
<b>(2) Foreign</b>	-	-	-	-					-
a)NRIs- Individuals	-	-	-	-	-	-	-	-	-
b) Other- Individuals	-	-	-	-	-	-	-	-	-
c) Bodies Corporate	-	-	-	-	-	-	-	-	-
d) Banks/ FI	-	-	-	-	-	-	-	-	-
e) Any other...	-	-	-	-	-	-	-	-	-
<b>Sub- total (A) (2):-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Total Shareholding of Promoter (A)= (A) (1)+ (A) (2)</b>	<b>3639240</b>	<b>0</b>	<b>3639240</b>	<b>71.47</b>	<b>3639240</b>	<b>0</b>	<b>3639240</b>	<b>71.47</b>	<b>0</b>
<b>B. Public Shareholding</b>									

<b>1. Institutions</b>									
a) Mutual Funds	-	-	-	-	-	-	-	-	-
b) Banks / FI	-	-	-	-	-	-	-	-	-
c) Central Govt	-	-	-	-	-	-	-	-	-
d) State Govt(s)	-	-	-	-	-	-	-	-	-
e) Venture Capital Funds	-	-	-	-	-	-	-	-	-
f) Insurance Companies	-	-	-	-	-	-	-	-	-
g) FIIs	-	-	-	-	-	-	-	-	-
h) Foreign Venture Capital Funds	-	-	-	-	-	-	-	-	-
i) Others (specify)	-	-	-	-	-	-	-	-	-
<b>Sub-total (B)(1):-</b>	-	-	-	-	-	-	-	-	-
<b>2. Non-Institutions</b>									
a) Bodies Corp.	226433	15200	241633	4.75	13191	15200	28391	0.56	-88.2504
i) Indian	-	-	-	-	-	-	-	-	-
ii) Overseas	-	-	-	-	-	-	-	-	-
b) Individuals									
i) Individual shareholders holding nominal share capital upto Rs. 2 lakh	275556	367460	643016	12.63	495601	362560	858161	16.85	33.45873
ii) Individual shareholders holding nominal share capital in excess of Rs 2 lakh	415972	-	415972	8.17	374176	0	374176	7.35	-10.0478
c) Others (specify)									
Non Resident Indians	1010	-	1010	0.019	2011	0	2011	0.04	99.10891
Overseas Corporate Bodies	-	-	-	-	-	-	-	-	-
Foreign Nationals	-	-	-	-	-	-	-	-	-

Clearing Members	-	-	-	-	3901	0	3901	0.08	0.08
Trusts	100	-	100	0	100	0	100	0	0
HUF	151229	-	151229	2.97	186220	0	186220	3.65	23.13776
Foreign Bodies - D R	-	-	-	-	-	-	-	-	-
<b>Sub-total (B)(2):-</b>	<b>1070300</b>	<b>382660</b>	<b>1452960</b>	<b>28.54</b>	<b>1075200</b>	<b>377760</b>	<b>1452960</b>	<b>28.53</b>	<b>0</b>
<b>Total Public Shareholding (B)=(B)(1)+ (B)(2)</b>	<b>1070300</b>	<b>382660</b>	<b>1452960</b>	<b>28.53</b>	<b>1075200</b>	<b>377760</b>	<b>1452960</b>	<b>28.53</b>	<b>0</b>
<b>C. Shares held by Custodian for GDRs &amp; ADRs</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Grand Total (A+B+C)</b>	<b>4709540</b>	<b>382660</b>	<b>5092200</b>	<b>100</b>	<b>4714440</b>	<b>377760</b>	<b>5092200</b>	<b>100</b>	<b>0</b>

**b) Shareholding of Promoters-**

SN	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in shareholding during the year
		No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbered to total shares	No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbered to total shares	
1	Sh. N.D. Agarwal	3034774	59.60	-	3034774	59.60	-	No change
2	N.D. Agarwal(HUF)	264700	5.20	-	264700	5.20	-	No change
3	Sh. Sanjay Agarwal	180785	3.55	-	180785	3.55	-	No change
4	Smt. Saroj Agarwal	80010	1.57	-	80010	1.57	-	No change
5	NDA Research & Technologies Pvt. Ltd.	50000	0.98	-	50000	0.98	-	No change
6	Smt. Deepti Agarwal	28451	0.56	-	28451	0.56	-	No change
7	Smt. Reena Gupta	510	0.01	-	510	0.01	-	No change
8	Smt. Neena Diwan	10	0.00	-	10	0.00	-	No change
<b>Total</b>		<b>3639240</b>	<b>71.47</b>	<b>-</b>	<b>3639240</b>	<b>71.47</b>	<b>-</b>	<b>No Change</b>

**c) Change in Promoters' Shareholding (please specify, if there is no change)**

S.No.		Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
	At the beginning of the year	<b>3639240</b>	<b>71.47</b>	<b>3639240</b>	<b>71.47</b>
	Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc):	No change during the year in the shareholding of Promoters of the Company.			
	At the end of the year	<b>3639240</b>	<b>71.47</b>	<b>3639240</b>	<b>71.47</b>

**d) Shareholding Pattern of top ten Shareholders:  
(Other than Directors, Promoters and Holders of GDRs and ADRs):**

S.No	Name	Shareholding					Cumulative shareholding during the year (01.04.2021-31.03.2022)	
		No. of shares at the beginning 01.04.2021	% of total shares of the Company	Date of change	Increase/ Decrease in shareholding	Reason	No. of shares	% of total shares of the Company
1.	Trilok Chand Agarwal	168536	3.31	-	Nil	-	168536	3.31
2.	Ram Gopal Ramgarhia HUF	39000	0.77	07.01.2022	33818	Purchase	72818	1.43
				14.01.2022	2500	Purchase	75318	1.48
				21.01.2022	500	Purchase	75818	1.49
				28.01.2022	5263	Purchase	81081	1.59
				04.02.2022	3033	Purchase	84114	1.65
				11.02.2022	11500	Purchase	95614	1.88
				18.02.2022	200	Purchase	95814	1.88
				25.02.2022	565	Purchase	96379	1.89
				04.03.2022	1970	Purchase	98349	1.93
				18.03.2022	23597	Purchase	121946	2.39

				25.03.2022	5120	Purchase	127066	2.50
				<b>31.03.2022</b>	-	-	<b>127066</b>	<b>2.50</b>
3.	Ram Gopal Ramgarhia	20225	0.40	07.01.2022	28750	Purchase	48975	0.96
				21.01.2022	1484	Purchase	50459	0.99
				28.01.2022	2500	Purchase	52959	1.04
				04.02.2022	4694	Purchase	57653	1.13
				25.02.2022	3500	Purchase	61153	1.20
				31.03.2022	11418	Purchase	72571	1.42
				<b>31.03.2022</b>	-	-	<b>72571</b>	<b>1.42</b>
4.	Rakesh Kumar	45000	0.88	-	Nil	-	45000	0.88
5.	Mamta Ramgarhia	16000	0.31	07.01.2022	5337	Purchase	21337	0.42
				28.01.2022	500	Purchase	21837	0.43
				18.03.2022	9222	Purchase	31059	0.61
				25.03.2022	8445	Purchase	39504	0.77
				<b>31.03.2022</b>			<b>39504</b>	<b>0.77</b>
6.	Pushpa Bansal	38310	0.75	08.10.2021	-500	Sell	37810	0.74
				<b>31.03.2022</b>	-	-	<b>37810</b>	<b>0.74</b>
7.	Rakesh Kumar Bansal	28804	0.56		Nil	-	28804	0.56
8.	Virender	26951	0.53	-	Nil	-	26951	0.53
9.	Laxmipat Dudheria	18507	0.37	-	Nil	-	18507	0.37
10.	Kandagatla Saraswathi	173	0.003	28.01.2022	-173	Sell	0	0
				11.03.2022	1245	Purchase	1245	0.02
				18.03.2022	6755	Purchase	8000	0.16
				25.03.2022	3346	Purchase	11346	0.22
				31.03.2022	3962	Purchase	15308	0.30
				<b>31.03.2022</b>	-	-	<b>15308</b>	<b>0.30</b>

**e) Shareholding of Directors and Key Managerial Personnel:**

SN	Shareholding of each Directors and each Key Managerial Personnel	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
	At the beginning of the year				
	1. Mr. Sanjay Agarwal 2. Mrs. Deepti Agarwal	180785 28451	3.550 0.559	209236	4.109
	Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc):	<b>No Change during the year</b>			
	<b>At the end of the year</b>				
		<b>209236</b>	<b>4.109</b>	<b>209236</b>	<b>4.109</b>

**VI) INDEBTEDNESS -Indebtedness of the Company including interest outstanding/accrued but not due for payment**

S.No.	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
<b>Indebtedness at the beginning of the financial year</b>				
i) Principal Amount	-	-	-	-
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	-	-	-	-
<b>Total (i+ii+iii)</b>	-	-	-	-
<b>Change in Indebtedness during the financial year</b>	-			
* Addition	64,26,299	-	-	64,26,299
* Reduction	-	-	-	-
<b>Net Change</b>	64,26,299	-	-	64,26,299
<b>Indebtedness at the end of the financial year</b>				
i) Principal Amount	64,26,299	-	-	64,26,299
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	-	-	-	-
<b>Total (i+ii+iii)</b>	64,26,299	-	-	64,26,299

**VII. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL-**
**A. Remuneration to Managing Director, Whole-time Directors and/or Manager:**

Particulars of Remuneration	Mr. Sanjay Agarwal (MD)	Mrs. Deepti Agarwal (WTD)	Total Amount
Gross salary			
(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	30,00,000	15,00,000	45,00,000
(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	-	-	-
(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	-	-	-
Stock Option	-	-	-
Sweat Equity	-	-	-
Commission - as % of profit - others, specify...	-	-	-
Others, please specify	-	-	-
<b>Total (A)</b>	<b>30,00,000</b>	<b>15,00,000</b>	<b>45,00,000</b>
<b>ceiling as per the Act</b>			

**B. Remuneration to other directors**

Particulars of Remuneration	Name of Directors			Total Amount
	Mr. Uma Shanker Gupta	Mr. Ram Kishan Sanghi		
Fee for attending Board/ committee meetings	15,000/-	15,000/-	30,000/-	
Commission	-	-	-	
Others, please specify	-	-	-	
<b>Total</b>	<b>15,000/-</b>	<b>15,000/-</b>	<b>30,000/-</b>	
<b>Overall Ceiling as per the Act</b>				

**C. REMUNERATION TO KEY MANAGERIAL PERSONNEL OTHER THAN MD/MANAGER/WTD**

Particulars of Remuneration	Key Managerial Personnel (KMP)		
	Ms. Vanshika Rastogi (CS)	Mr. Arun Kumar Mistry (CFO)	Total Amount
Gross salary	4,64,633	7,38,436	12,03,069
(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	-	-	-
(b) Value of perquisites u/s	-	-	-



<b>17(2) Income-tax Act, 1961</b>			
<b>(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961</b>	-	-	
<b>Stock Option</b>	-	-	-
<b>Sweat Equity</b>	-	-	-
<b>Commission- as % of profit</b>	-	-	-
<b>Others specify...</b>	-	-	-
<b>Total</b>	<b>4,64,633</b>	<b>7,38,436</b>	<b>12,03,069</b>

**IX. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES:**

Type	Section of the Companies Act	Brief Description	Details of Penalty / Punishment/ Compounding fees imposed	Authority [RD / NCLT/ COURT]	Appeal made, if any (give Details)
A. COMPANY					
Penalty	NONE				
Punishment					
Compounding					
B. DIRECTORS					
Penalty	NONE				
Punishment					
Compounding					
C. OTHER OFFICERS IN DEFAULT					
Penalty	NONE				
Punishment					
Compounding					

**By Order of the Board of Directors**

Place: New Delhi  
Date:13.08.2022

Sanjay Agarwal  
Managing Director  
DIN: 00010639

Deepti Agarwal  
Whole Time Director  
DIN: 00049250

Farm No.- 4, Dera Greens Opp. Dera Senior Secondary School,  
Mehrauli, Dera Hauz Khas, South Delhi-110074

157, Block - E, Kalkaji,  
New Delhi-110019