

**FORM No. - MGT-9**
**Extract of Annual Return**
**As on the financial year ended on March 31, 2021**

[Pursuant to section 92 (3) of the Companies Act, 2013 and rule 12 (1) of the Companies Management and Administration) Rules, 2014]

**I. REGISTRATION AND OTHER DETAILS:**

Particulars	Details
CIN	L74899DL1992PLC050366
Registration Date	21/09/1992
Name of the Company	<b>NDA Securities Limited</b>
Category/ Sub- Category of the Company	<b>Company Limited by shares/ Indian Non-Government Company</b>
Address of the Registered Office and Contact Details	E-157, 2 <sup>nd</sup> Floor, Kalkaji, New Delhi-110019 Tel No.- 011-46204009 email:legal@ndaindia.com
Whether listed Company Yes/ No	<b>Yes</b> <b>(Listed on Bombay Stock Exchange Code: A1)</b>
Name, Address and Contact details of Registrar and Transfer Agent, if any	BEETAL Financial & Computer Services Private Limited BEETAL HOUSE, 3rd Floor, 99, Madangir, behind LSC, New Delhi- 110062 Tel- 011-29961281-283 Email: <a href="mailto:beetalrta@gmail.com">beetalrta@gmail.com</a>

**II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY (All the business activities contributing 10 % or more of the total turnover of the company shall be stated)**

SN	Name and Description of main products / services	NIC Code of the Product/service	% to total turnover of the Company
1	Brokerage	6612	100%

**III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES**

S. NO	NAME AND ADDRESS OF THE COMPANY	CIN/GLN	HOLDING/ SUBSIDIARY/ ASSOCIATE	% of Shares held	Applicable Section
1	NDA Commodity Brokers (P) Ltd <b>Registered Office:</b> E-157, 2 <sup>nd</sup> Floor, Kalkaji, New Delhi-110019	U74899DL1995PTC068098	SUBSIDIARY	100%	2(87)

**IV. SHARE HOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity)**
**a) Category-wise Share Holding**

Category of Shareholders	No. of Shares held at the beginning of the year[As on 1-April-2020]				No. of Shares held at the end of the year[As on 31-March-2021]				% Change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
<b>A. Promoter s</b>									
<b>(1) Indian</b>									
a) Individual/ HUF	3589240	-	3589240	70.49	3589240	-	3589240	70.49	-
b) Central Govt	-	-	-	-	-	-	-	-	-
c) State Govt(s)	-	-	-	-	-	-	-	-	-
d) Bodies Corp.	50000	-	50000	0.98	50000	-	50000	0.98	-
e) Banks / FI	-	-	-	-					-
f) Any other	-	-	-	-					-
<b>Sub- total (A) (1):-</b>	<b>3639240</b>	-	<b>3639240</b>	<b>71.47</b>	<b>3639240</b>	-	<b>3639240</b>	<b>71.47</b>	-
<b>(2) Foreign</b>	-	-	-	-					-
a)NRIs- Individuals	-	-	-	-	-	-	-	-	-
b) Other- Individuals	-	-	-	-	-	-	-	-	-
c) Bodies Corporate	-	-	-	-	-	-	-	-	-
d) Banks/ FI	-	-	-	-	-	-	-	-	-
e) Any other...	-	-	-	-	-	-	-	-	-
<b>Sub- total (A) (2):-</b>	-	-	-	-	-	-	-	-	-
<b>Total Shareholding of Promoter (A)=</b>	<b>3639240</b>	-	<b>3639240</b>	<b>71.47</b>	<b>3639240</b>	-	<b>3639240</b>	<b>71.47</b>	-

(A) (1)+ (A) (2)									
<b>B. Public Shareholding</b>									
<b>1. Institutions</b>									
a) Mutual Funds	-	-	-	-	-	-	-	-	-
b) Banks / FI	-	-	-	-	-	-	-	-	-
c) Central Govt	-	-	-	-	-	-	-	-	-
d) State Govt(s)	-	-	-	-	-	-	-	-	-
e) Venture Capital Funds	-	-	-	-	-	-	-	-	-
f) Insurance Companies	-	-	-	-	-	-	-	-	-
g) FIIs	-	-	-	-	-	-	-	-	-
h) Foreign Venture Capital Funds	-	-	-	-	-	-	-	-	-
i) Others (specify)	-	-	-	-	-	-	-	-	-
<b>Sub-total (B)(1):-</b>	-	-	-	-	-	-	-	-	-
<b>2. Non-Institutions</b>									
a) Bodies Corp.	242332	-	242332	4.67	226433	15200	241633	4.75	
i) Indian									-
ii) Overseas	-	-	-	-					-
b) Individuals									
i) Individual shareholders holding nominal share capital upto Rs. 2 lakh	248271	368060	616331	12.10	275556	367460	643016	12.63	-
ii) Individual shareholders holding nominal share capital in excess of Rs 2 lakh	442658	-	442658	8.69	415972	-	415972	8.17	-
c) Others (specify)									
Non Resident Indians	1010	-	1010	0.019	1010	-	1010	0.019	-

Overseas Corporate Bodies	-	-	-	-	-	-	-	-	-
Foreign Nationals	-	-	-	-	-	-	-	-	-
Clearing Members	-	-	-	-	-	-	-	-	-
Trusts	100	-	100	-	100	-	100	-	-
HUF	150529	-	150529	2.95	151229	-	151229	2.97	-
Foreign Bodies - D R	-	-	-	-	-	-	-	-	-
<b>Sub-total (B)(2):-</b>	<b>1084900</b>	<b>368060</b>	<b>1452960</b>	<b>28.53</b>	<b>1070300</b>	<b>382660</b>	<b>1452960</b>	<b>28.53</b>	<b>-</b>
<b>Total Public Shareholding (B)=(B)(1)+(B)(2)</b>	<b>1084900</b>	<b>368060</b>	<b>1452960</b>	<b>28.53</b>	<b>1070300</b>	<b>382660</b>	<b>1452960</b>	<b>28.53</b>	<b>-</b>
<b>C. Shares held by Custodian for GDRs &amp; ADRs</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Grand Total (A+B+C)</b>	<b>4724140</b>	<b>368060</b>	<b>5092200</b>	<b>100</b>	<b>4709540</b>	<b>382660</b>	<b>5092200</b>	<b>100</b>	<b>-</b>

**b) Shareholding of Promoters-**

SN	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in shareholding during the year
		No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbered to total shares	No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbered to total shares	
1	Sh. N.D. Agarwal	3034774	59.60	-	3034774	59.60	-	No change
2	N.D. Agarwal(HUF)	264700	5.20	-	264700	5.20	-	No change
3	Sh. Sanjay Agarwal	180785	3.55	-	180785	3.55	-	No change
4	Smt. Saroj Agarwal	80010	1.57	-	80010	1.57	-	No change
5	NDA Research & Technologies Pvt. Ltd.	50000	0.98	-	50000	0.98	-	No change
6	Smt. Deepti Agarwal	28451	0.56	-	28451	0.56	-	No change
7	Smt. Reena Gupta	510	0.01	-	510	0.01	-	No change

8	Smt. Neena Diwan	10	0.00	-	10	0.00	-	No change
<b>Total</b>		<b>3639240</b>	<b>71.47</b>	-	<b>3639240</b>	<b>71.47</b>	-	No Change

**c) Change in Promoters' Shareholding (please specify, if there is no change)**

S.No.		Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
	At the beginning of the year	3639240	71.47	3639240	71.47
	Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc):	No change during the year in the shareholding of Promoters of the Company.			
	At the end of the year	3639240	71.47	3639240	71.47

**d) Shareholding Pattern of top ten Shareholders:**

(Other than Directors, Promoters and Holders of GDRs and ADRs):

S.No	Name	Shareholding					Cumulative shareholding during the year (01.04.2020-31.03.2021)	
		No. of shares at the beginning 01.04.2020	% of total shares of the Company	Date of change	Increase / Decrease in shareholding	Reason	No. of shares	% of total shares of the Company
1.	Ess Kay Mercantiles Ltd	200471	3.93		Nil	-	200471	3.93
2.	Trilok Chand Agarwal	168536	3.31	-	Nil	-	168536	3.31
3.	Subhash Chander	58174	1.14	-	Nil	-	58174	1.14
4.	Anita Bansal	46155	0.91		Nil	-	46155	0.91
5.	Rakesh Kumar	45000	0.88	-	Nil	-	45000	0.88
6.	Pushpa Bansal	38310	0.75		Nil	-	38310	0.75
7.	Rajesh Gupta	30000	0.59		Nil	-	30000	0.59
8.	Sonu Gupta	30000	0.59		Nil	-	30000	0.59

9.	Surya Parkash	30000	0.59		Nil	-	30000	0.59
10.	Rakesh Kumar Bansal	28804	0.56		Nil	-	28804	0.56

**e) Shareholding of Directors and Key Managerial Personnel:**

SN	Shareholding of each Directors and each Key Managerial Personnel	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
	At the beginning of the year				
	1. Mr. Sanjay Agarwal	180785	3.550	209236	4.109
	2. Mrs. Deepti Agarwal	28451	0.559		
	Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc):	No Change during the year			
	At the end of the year	209236	4.109	209236	4.109

**VI) INDEBTEDNESS -Indebtedness of the Company including interest outstanding/accrued but not due for payment**

S.No.	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year				
i) Principal Amount		-	-	
ii) Interest due but not paid	-	-	-	
iii) Interest accrued but not due	-	-	-	
Total (i+ii+iii)		-	-	
Change in Indebtedness during the financial year				
* Addition	-	-	-	
* Reduction		-	-	
Net Change		-	-	
Indebtedness at the end of the financial year				
i) Principal Amount	-	-	-	

ii) Interest due but not paid	-	-	-	
iii) Interest accrued but not due	-	-	-	
<b>Total (i+ii+iii)</b>	-	-	-	

**VII. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL-**
**A. Remuneration to Managing Director, Whole-time Directors and/or Manager:**

Particulars of Remuneration	Mr. Sanjay Agarwal (MD)	Mrs. Deepti Agarwal (WTD)	Total Amount
Gross salary			
(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	30,00,000	15,00,000	45,00,000
(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	-	-	-
(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	-	-	-
Stock Option	-	-	-
Sweat Equity	-	-	-
Commission - as % of profit - others, specify...	-	-	-
Others, please specify	-	-	-
<b>Total (A)</b>	<b>30,00,000</b>	<b>15,00,000</b>	<b>45,00,000</b>
<b>ceiling as per the Act</b>			

**B. Remuneration to other directors**

Particulars of Remuneration	Name of Directors			
	Mr. Uma Shanker Gupta	Mr. Ram Kishan Sanghi	Total Amount	
Fee for attending Board/ committee meetings	15,000/-	15,000/-	36,000/-	
Commission	-	-	-	
Others, please specify	-	-	-	
<b>Total</b>	<b>15,000/-</b>	<b>15,000/-</b>	<b>36,000/-</b>	
<b>Overall Ceiling as per the Act</b>				

**C. REMUNERATION TO KEY MANAGERIAL PERSONNEL OTHER THAN MD/MANAGER/WTD**

Particulars of Remuneration	Key Managerial Personnel (KMP)			Total Amount (in Rs.)
	Ms. Vanshika Rastogi (CS)	Mr. Arun Kumar Mistry (CFO)*		

Gross salary	4,36,585/-	7,07,069/-	11,43,654/-
(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	-	-	-
(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	-	-	-
(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	-	-	-
Stock Option	-	-	-
Sweat Equity	-	-	-
Commission- as % of profit	-	-	-
Others, specify...	-	-	-
Total	4,36,585/-	7,07,069/-	11,43,654/-

**IX. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES:**

Type	Section of the Companies Act	Brief Description	Details of Penalty / Punishment/ Compounding fees imposed	Authority [RD / NCLT/ COURT]	Appeal made, if any (give Details)
A. COMPANY					
Penalty			NONE		
Punishment					
Compounding					
B. DIRECTORS					
Penalty			NONE		
Punishment					
Compounding					
C. OTHER OFFICERS IN DEFAULT					
Penalty			NONE		
Punishment					
Compounding					

Place: New Delhi  
Date: 13.08.2021

**By Order of the Board of Directors**

Sanjay Agarwal  
Managing Director  
DIN: 00010639

Deepti Agarwal  
Whole Time Director  
DIN: 00049250

Farm No.- 4, Dera Greens Opp. Dera Senior Secondary School,  
Mehrauli, Dera Hauz Khas, South Delhi-110074

157, Block - E, Kalkaji,  
New Delhi-110019

