

**FORM No. - MGT-9**
**Extract of Annual Return**
**As on the financial year ended on March 31, 2020**

[Pursuant to section 92 (3) of the Companies Act, 2013 and rule 12 (1) of the Companies Management and Administration) Rules, 2014]

**I. REGISTRATION AND OTHER DETAILS:**

Particulars	Details
CIN	L74899DL1992PLC050366
Registration Date	21/09/1992
Name of the Company	<b>NDA Securities Limited</b>
Category/ Sub- Category of the Company	<b>Company Limited by shares/ Indian Non-Government Company</b>
Address of the Registered Office and Contact Details	E-157, Second Floor, Kalkaji, New Delhi-110019 Tel No.- 011-46204009 email:legal@ndaIndia.com
Whether listed Company Yes/ No	<b>Yes</b> <b>(Listed on Bombay Stock Exchange Code: A1)</b>
Name, Address and Contact details of Registrar and Transfer Agent, if any	BEETAL Financial & Computer Services Private Limited BEETAL HOUSE, 3rd Floor, 99, Madangir, behind LSC, New Delhi- 110062 Tel- 011-29961281-283 Email: <a href="mailto:beetalrta@gmail.com">beetalrta@gmail.com</a>

**II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY (All the business activities contributing 10 % or more of the total turnover of the company shall be stated)**

SN	Name and Description of main products / services	NIC Code of the Product/service	% to total turnover of the Company
1	Brokerage	6612	100%

**III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES**

S. NO	NAME AND ADDRESS OF THE COMPANY	CIN/GLN	HOLDING/ SUBSIDIARY/ ASSOCIATE	% of Shares held	Applicable Section
1	NDA Commodity Brokers (P) Ltd <b>Registered Office:</b> 1002A, Arunachal, 19, Barakhamba Road, New Delhi-110001	U74899DL1995PTC068098	SUBSIDIARY	100%	2(87)

**IV. SHARE HOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity)**
**a) Category-wise Share Holding**

Category of Shareholders	No. of Shares held at the beginning of the year[As on 1-April-2019]				No. of Shares held at the end of the year[As on 31-March-2020]				% Change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
<b>A. Promoter s</b>									
<b>(1) Indian</b>									
a) Individual/ HUF	3589240	-	3589240	70.49	3589240	-	3589240	70.49	-
b) Central Govt	-	-	-	-	-	-	-	-	-
c) State Govt(s)	-	-	-	-	-	-	-	-	-
d) Bodies Corp.	50000	-	50000	0.98	50000	-	50000	0.98	-
e) Banks / FI	-	-	-	-					-
f) Any other	-	-	-	-					-
<b>Sub- total (A) (1):-</b>	<b>3639240</b>	-	<b>3639240</b>	<b>71.47</b>	<b>3639240</b>	-	<b>3639240</b>	<b>71.47</b>	-
<b>(2) Foreign</b>	-	-	-	-					-
a)NRIs- Individuals	-	-	-	-	-	-	-	-	-
b) Other- Individuals	-	-	-	-	-	-	-	-	-
c) Bodies Corporate	-	-	-	-	-	-	-	-	-
d) Banks/ FI	-	-		-	-	-	-	-	-
e) Any other...	-	-	-	-	-	-	-	-	-
<b>Sub- total (A) (2):-</b>	-	-	-	-	-	-	-	-	-
<b>Total Shareholding of Promoter (A)= (A) (1)+ (A) (2)</b>	<b>3639240</b>	-	<b>3639240</b>	<b>71.47</b>	<b>3639240</b>	-	<b>3639240</b>	<b>71.47</b>	-
<b>B. Public</b>									

Shareholding									
<b>1. Institutions</b>									
a) Mutual Funds	-	-	-	-	-	-	-	-	-
b) Banks / FI	-	-	-	-	-	-	-	-	-
c) Central Govt	-	-	-	-	-	-	-	-	-
d) State Govt(s)	-	-	-	-	-	-	-	-	-
e) Venture Capital Funds	-	-	-	-	-	-	-	-	-
f) Insurance Companies	-	-	-	-	-	-	-	-	-
g) FIIs	-	-	-	-	-	-	-	-	-
h) Foreign Venture Capital Funds	-	-	-	-	-	-	-	-	-
i) Others (specify)	-	-	-	-	-	-	-	-	-
<b>Sub-total (B)(1):-</b>	-	-	-	-	-	-	-	-	-
<b>2. Non-Institutions</b>									
a) Bodies Corp.					242332	-	242332	4.76	
i) Indian	68459	16200	84659	1.67	-	-	-	-	-
ii) Overseas	-	-	-	-	-	-	-	-	-
b) Individuals									
i) Individual shareholders holding nominal share capital upto Rs. 1 lakh	245905	372660	618565	12.13	248271	368060	616331	12.10	-
ii) Individual shareholders holding nominal share capital in excess of Rs 1 lakh	719395	-	719395	14.13	442658	-	442658	8.69	-
c) Others (specify)									
Non Resident Indians	1010	-	1010	0.019	1010	-	1010	0.019	-
Overseas Corporate Bodies	-	-	-	-	-	-	-	-	-

Foreign Nationals	-	-	-	-	-	-	-	-	-
Clearing Members	-	-	-	-	-	-	-	-	-
Trusts	100	-	100	-	100	-	100	-	-
HUF	29231	-	29231	0.57	150529	-	150529	2.95	-
Foreign Bodies - D R	-	-	-	-	-	-	-	-	-
<b>Sub-total (B)(2):-</b>	<b>1064100</b>	<b>388860</b>	<b>1452960</b>	<b>28.53</b>	<b>1084900</b>	<b>368060</b>	<b>1452960</b>	<b>28.53</b>	-
<b>Total Public Shareholding (B)=(B)(1)+(B)(2)</b>	<b>1064100</b>	<b>388860</b>	<b>1452960</b>	<b>28.53</b>	<b>1084900</b>	<b>368060</b>	<b>1452960</b>	<b>28.53</b>	-
<b>C. Shares held by Custodian for GDRs &amp; ADRs</b>	-	-	-	-	-	-	-	-	-
<b>Grand Total (A+B+C)</b>	<b>4703340</b>	<b>388860</b>	<b>5092200</b>	<b>100.00</b>	<b>4724140</b>	<b>368060</b>	<b>5092200</b>	<b>100.00</b>	-

**b) Shareholding of Promoters-**

SN	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in shareholding during the year
		No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbered to total shares	No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbered to total shares	
1	Sh. N.D. Agarwal	3034774	59.60	-	3034774	59.60	-	No change
2	N.D. Agarwal(HUF)	264700	5.20	-	264700	5.20	-	No change
3	Sh. Sanjay Agarwal	180785	3.55	-	180785	3.55	-	No change
4	Smt. Saroj Agarwal	80010	1.57	-	80010	1.57	-	No change
5	NDA Research & Technologies Pvt. Ltd.	50000	0.98	-	50000	0.98	-	No change
6	Smt. Deepti Agarwal	28451	0.56	-	28451	0.56	-	No change
7	Smt. Reena Gupta	510	0.01	-	510	0.01	-	No change
8	Smt. Neena Dewan	10	0.00	-	10	0.00	-	No change
<b>Total</b>		<b>3639240</b>	<b>71.47</b>	-	<b>3639240</b>	<b>71.47</b>	-	No Change

**c) Change in Promoters' Shareholding (please specify, if there is no change)**

S.No.		Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
	At the beginning of the year	3639240	71.47	3639240	71.47
	Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc):	No change during the year in the shareholding of Promoters of the Company.			
	At the end of the year	3639240	71.47	3639240	71.47

**d) Shareholding Pattern of top ten Shareholders:**
**(Other than Directors, Promoters and Holders of GDRs and ADRs):**

S.No	Name	Shareholding					Cumulative shareholding during the year (01.04.2019-31.03.2020)	
		No. of shares at the beginning 01.04.2019	% of total shares of the Company	Date of change	Increase / Decrease in shareholding	Reason	No. of shares	% of total shares of the Company
1.	Ess Kay Mercantiles Limited	39500	0.77	10.01.2020	160971	Purchase	200471	3.94
2.	Trilok Chand Agarwal	168536	3.30	-	-	-	168536	3.30
3.	Subhash Chander	24475	0.48	14.06.2019	600	Purchase	25075	0.49
				21.06.2019	800	Purchase	25875	0.50
				28.06.2019	25000	Purchase	50875	0.99
				05.07.2019	7299	Purchase	58174	1.14
				18.10.2019	-58174	sell	0	0
				25.10.2019	58174	Purchase	58174	1.14
4.	Anita Bansal	24280	0.47	21.06.2019	21875	Purchase	46155	0.90
				18.10.2019	-46155	Sell	0	0
				25.10.2019	46155	Purchase	46155	0.90
5.	Rakesh Kumar	25000	0.49	28.06.2019	20000	Purchase	45000	0.88
				18.10.2019	-45000	Sell	0	0
				25.10.2019	45000	Purchase	45000	0.88
6.	Pushpa Bansal	25810	0.50	21.06.2019	12500	Purchase	38310	0.75
				18.10.2019	-38310	Sell	0	0
				25.10.2019	38310	Purchase	38310	0.75
7.	Rajesh Gupta	30000	0.58	18.10.2019	-30000	Sell	0	0
				25.10.2019	30000	Purchase	30000	0.58

8.	Sonu Gupta	30000	0.58	18.10.2019	-30000	Sell	0	0
				25.10.2019	30000	Purchase	30000	0.58
9.	Surya Prakash	30000	0.58	18.10.2019	-30000	Sell	0	0
				25.10.2019	30000	Purchase	30000	0.58
10.	Rakesh Kumar Bansal	12500	0.25	28.06.2019	16304	Purchase	28804	0.56
				18.10.2019	-28804	Sell	0	0
				25.10.2019	28804	Purchase	28804	0.56

**e) Shareholding of Directors and Key Managerial Personnel:**

SN	Shareholding of each Directors and each Key Managerial Personnel	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
	At the beginning of the year				
	1. Mr. Sanjay Agarwal	180785	3.550	209236	4.109
	2. Mrs. Deepti Agarwal	28451	0.559		
	Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc):	<b>No Change during the year</b>			
	<b>At the end of the year</b>				
		<b>209236</b>	<b>4.109</b>	<b>209236</b>	<b>4.109</b>

**VI) INDEBTEDNESS -Indebtedness of the Company including interest outstanding/accrued but not due for payment**

S.No.	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
<b>Indebtedness at the beginning of the financial year</b>				
<b>i) Principal Amount</b>	<b>70,00,000</b>	-	-	<b>70,00,000</b>
<b>ii) Interest due but not paid</b>	-	-	-	-
<b>iii) Interest accrued but not due</b>	-	-	-	-
<b>Total (i+ii+iii)</b>	<b>70,00,000</b>	-	-	<b>70,00,000</b>
<b>Change in Indebtedness during the financial year</b>				
<b>* Addition</b>	-	-	-	-
<b>* Reduction</b>	<b>70,00,000</b>	-	-	<b>70,00,000</b>
<b>Net Change</b>	<b>70,00,000</b>	-	-	<b>70,00,000</b>

<b>Indebtedness at the end of the financial year</b>				
<b>i) Principal Amount</b>	-	-	-	-
<b>ii) Interest due but not paid</b>	-	-	-	-
<b>iii) Interest accrued but not due</b>	-	-	-	-
<b>Total (i+ii+iii)</b>	-	-	-	-

**VII. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL-**
**A. Remuneration to Managing Director, Whole-time Directors and/or Manager:**

<b>Particulars of Remuneration</b>	<b>Mr. Sanjay Agarwal (MD)</b>	<b>Mrs. Deepti Agarwal (WTD)</b>	<b>Total Amount</b>
Gross salary			
(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	30,00,000	15,00,000	45,00,000
(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	-	-	-
(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	-	-	-
Stock Option	-	-	-
Sweat Equity	-	-	-
Commission - as % of profit - others, specify...	-	-	-
Others, please specify	-	-	-
<b>Total (A)</b>	<b>30,00,000</b>	<b>15,00,000</b>	<b>45,00,000</b>
<b>ceiling as per the Act</b>			

**B. Remuneration to other directors**

<b>Particulars of Remuneration</b>	<b>Name of Directors</b>			
	<b>Mr. Lalit Gupta</b>	<b>Mr. Uma Shanker Gupta</b>	<b>Mr. Ram Kishan Sanghi</b>	<b>Total Amount</b>
Fee for attending Board/ committee meetings	6,000/-	15,000/-	15,000/-	36,000/-
Commission	-	-	-	-
Others, please specify	-	-	-	-
<b>Total</b>	<b>6,000/-</b>	<b>15,000/-</b>	<b>15,000/-</b>	<b>36,000/-</b>
<b>Overall Ceiling as per the Act</b>				

**C. REMUNERATION TO KEY MANAGERIAL PERSONNEL OTHER THAN MD/MANAGER/WT**

Particulars of Remuneration	Key Managerial Personnel (KMP)		
	Ms. Vanshika Rastogi (CS)	Mr. Arun Kumar Mistry (CFO)	Total Amount (in Rs.)
Gross salary	4,21,063/-	7,19,903/-	11,40,966/-
(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	-	-	-
(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	-	-	-
(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	-	-	-
Stock Option	-	-	-
Sweat Equity	-	-	-
Commission- as % of profit	-	-	-
Others, specify...	-	-	-
<b>Total</b>	<b>4,21,063/-</b>	<b>7,19,903/-</b>	<b>11,40,966/-</b>

**IX. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES:**

Type	Section of the Companies Act	Brief Description	Details of Penalty / Punishment/ Compounding fees imposed	Authority [RD / NCLT/ COURT]	Appeal made, if any (give Details)
A. COMPANY					
Penalty	NONE				
Punishment					
Compounding					
B. DIRECTORS					
Penalty	NONE				
Punishment					
Compounding					
C. OTHER OFFICERS IN DEFAULT					
Penalty	NONE				
Punishment					
Compounding					

**By Order of the Board of Directors**

Place: New Delhi  
Date: 03.09.2020

Sanjay Agarwal  
Managing Director  
DIN: 00010639  
Deepti Agarwal  
Whole Time Director  
DIN: 00049250

Farm No.- 4, Dera Greens Opp. Dera Senior Secondary School, Mehrauli, Dera Hauz Khas, South Delhi-110074  
157, Block - E, Kalkaji, New Delhi-110019

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